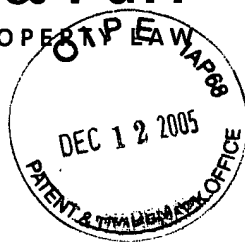


# Bereskin & Parr

INTELLECTUAL PROPERTY



December 8, 2005

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Your Reference: 09/718,500  
Our Reference: 11483-80

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia  
U.S.A 22313-1450

Dear Sir:

**Re: United States Patent Application No. 09/718,500**  
**For: METHOD OF PORTFOLIO EVALUATION**  
**Applicants: DEMBO, Ron S. and MAUSSER Helmut**

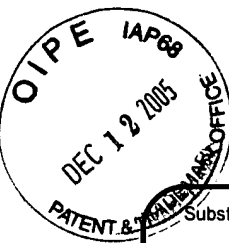
In response to the Examiner's Requirement for Information under 37 C.F.R.1.105, the Applicant hereby submits a Supplemental Information Disclosure Statement including (1) a listing, on PTO form 1449, of documents being submitted in response to this requirement, and (2) a copy of the listed documents.

Please have these documents recorded against the above-mentioned application.

Respectfully submitted,

Kendrick Lo  
Registration No. 54,948

/md  
Encl.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	09/718,500		
		Filing Date	November 24, 2000		
		First Named Inventor	DEMBO, Ron S. et al.		
		Art Unit	3624		
		Examiner Name	Ella Colbert		
Sheet	1	of	1	Attorney Docket Number	11483-80/KL

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		DEMBO, RON S. ET AL. The Put/Call Efficient Frontier, Algo Research Quarterly, March 2000, Vol. 3, No. 1	
		DEMBO, RON S. ET AL. Mark to Future: A Comprehensive Guide to a revolution in the evolution of Risk. Algorithmics Incorporated. November 1999 (not released publicly before Nov. 26/99) 2.36 to 2.42	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.  
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.